D L Childs [X_AXIOMS: 10/01/05]

SUMMARY OF AXIOMS FOR EXTENDED SET THEORY

XST (extended set theory) differs from CST (classical set theory) by assuming a ternary membership conditional instead of a binary membership conditional. Where the membership condition of CST establishes \mathbf{x} to be an element of a set \mathbf{A} whenever the truth-functional $\Gamma_A(\mathbf{x})$ is true, an XST membership conditional establishes \mathbf{x} to be a \mathbf{y} -element of a set \mathbf{A} whenever the truth-functional $\Gamma_A(\mathbf{x}, \mathbf{y})$ is true. The \mathbf{y} qualifier is called the *scope* component of XST set membership. Since CST sets have no scopes they can be subsumed under XST by defining CST membership using the ternary membership conditional: $\Gamma_A(\mathbf{x}, \emptyset)$. Following is a summary of work presented in [Ch92].

1 Preliminary Definitions

Extended sets are collections defined by a qualified membership condition. When the qualification is *null* the extended set membership condition is equivalent to a Classical set membership condition.

Definition 1.1 *Set: Given the null set,* \emptyset *, and the extended membership predicate,* \in *, then:*

$$Y \text{ is a set } \longleftrightarrow (\exists x, s)(x \in Y) \text{ or } Y = \emptyset,.$$

Notationally, XST sets are written as in CST except with subscripts on *element of*, \in_s , in conditional statements, and with superscripts on elements in extensional descriptions, $\{x^a, y^b, z^c\}$.

Definition 1.2 Membership Convention: $x \in Y \leftrightarrow (\exists s)(x \in Y)$,

$$\begin{array}{ll} \textbf{Definition 1.3} \ \textit{Scope Set:} & \mathcal{S}(\mathbf{A}) \ = \ \{y^y\!\!: (\exists x) \big(\ x \in_y \mathbf{A}\ \big)\}. \\ \\ & \text{EXAMPLE:} & \mathcal{S}(\{a^A, b^B, c^C\}) = \ \{A^A, B^B, C^C\}. \end{array}$$

Definition 1.4 Element Set:
$$\mathcal{E}(\mathbf{A}) = \{ x^x : (\exists y) (x \in \mathbf{A}) \}.$$

EXAMPLE: $\mathcal{E}(\{a^A, b^B, c^C\}) = \{a^a, b^b, c^c\}.$

Definition 1.5 Subsets:

$$\mathbf{A} \subseteq \mathbf{B} \iff (\forall x, s) \left(x \in_{s} \mathbf{A} \to x \in_{s} \mathbf{B} \right),$$

$$\mathbf{A} \subset \mathbf{B} \iff \mathbf{A} \subseteq \mathbf{B} \& \mathbf{A} \neq \mathbf{B}.$$

$$\mathbf{A} \subseteq \mathbf{B} \iff \emptyset \neq \mathbf{A} \subset \mathbf{B},$$

$$\mathbf{A} \subseteq \mathbf{B} \iff \mathbf{A} \subseteq \mathbf{B} \& \mathbf{B} \neq \emptyset \to \mathbf{A} \neq \emptyset.$$

Following is a summary of Extended Zermelo-Fraenkel axioms with the addition of the Axiom of Choice and the Axiom of Klasses.

1.1 X: Extensions by Scope

Following are the XST axioms governing the scope component of membership.

AXIOM 1.1 Axiom of Scope Sets:

For every set, Y, there exists a scope set, S, such that:

$$(\forall x,s)(\ x\in_s Y \ \rightarrow \ s\in_s S) \ \& \ (\forall s)(\exists x)(s\in_s S \ \rightarrow \ x\in_s Y) \ \& \ (\forall x,s)(\ x\in_s S \ \rightarrow \ s=x\).$$

AXIOM 1.2 Axiom of Set Inversion:

For every set, Y, there exists an inversion set, $\widehat{\mathbf{Y}}$, such that: $(\forall x,y)(x\in_{_{\boldsymbol{y}}}Y\longleftrightarrow y\in_{_{\boldsymbol{x}}}\widehat{\mathbf{Y}}).$

$$\begin{array}{lll} \textbf{Definition 1.6 Amalgamation:} & \bigsqcup \mathbf{A} \ = \ \left\{ \ x^y \!\!: \ (\exists z,s) \left(\ z \in_s \mathbf{A} \ \& \ \left(\ x \in_y z \ \ or \ y \in_x z \ \ or \ x \in_y s \ \ or \ y \in_x s \ \right) \right) \right\}, \\ & \bigsqcup_0 \mathbf{A} = \mathbf{A} \cup \widehat{\mathbf{A}}, \quad \& \quad \bigsqcup_{i+1} \mathbf{A} \ = \ \bigsqcup \bigsqcup_i \mathbf{A}, \quad where \ (i \in \{0,1,2,3,\ldots\}). \end{array}$$

AXIOM 1.3 Axiom of Nested Scopes:

For every set A, there exists a minimal set $S_*(A)$ such that:

$$\mathcal{S}_*(A) \ = \ \left\{ s^s\!\!: \ s \in \mathcal{S}(A) \ or \ (\exists B,C) \left(\ B \in_C A \ \& \ \left(\ s \in_s \mathcal{S}_*(B) \ or \ s \in_s \mathcal{S}_*(C) \ \right) \right) \right\}.$$

1.2 ZFC: Zermelo-Fraenkel & Choice

Following are the XST specifications of Zermelo-Fraenkel axioms and the XST axiom of choice.

AXIOM 1.4 Axiom of Extensionality:
$$(\forall A, B) \left((\forall x, s) \left(x \in A \longleftrightarrow x \in B \right) \to A = B \right)$$
.

AXIOM 1.5 Axiom of the Empty Set: $(\forall x, s)(x \notin \emptyset)$.

AXIOM 1.6 Axiom of Unordered Pairs: $(\forall a, b, s, v)(\exists C)(\forall x, y) (x \in_{v} C \leftrightarrow (y = s \text{ or } y = v) \& (x = a \text{ or } x = b))$.

AXIOM 1.7 Axiom of Sum Set (Union):
$$(\forall A)(\exists B)(\forall x,s) \left(x \in B \leftrightarrow (\exists X,w) \left(X \in A \& x \in X\right)\right)$$
.

AXIOM 1.8 Axiom of Infinity: $(\exists X, G) (\emptyset \in X \& (\forall Y)(\exists k) (Y \in X \rightarrow (Y \cup \{Y^k\}) \in X \& k \in G))$.

AXIOM 1.9 Axiom of Power Set:
$$(\forall A)(\exists X)(\forall B) (B \in_{\varnothing} X \longleftrightarrow B \subseteq A)$$
.

AXIOM 1.10 Axiom Schema of Replacement (element):

$$\begin{split} & \text{If } (\forall x,y,z,s) \left((\ x\ \epsilon_s A\ \&\ \Phi(x,y,s)\ \&\ \Phi(x,z,s)) \ \to\ y=z\ \right), \\ & \text{then } (\exists B) (\forall y,s) \left(\ y\ \epsilon_s B \leftrightarrow\ (\exists x) (\ x\ \epsilon_s A\ \&\ \Phi(x,y,s)\)\right). \end{split}$$

In the above, substitute ' \widehat{A} ' for 'A' and ' \widehat{B} ' for 'B' to get replacement for scopes. (Where $\widehat{\mathbf{A}} = \{ y^x \colon x \in \mathbf{A} \}$.)

AXIOM 1.11 Axiom Schema of Separation:
$$(\exists B)(\forall x,s) \left(x \epsilon_s B \leftrightarrow (x \epsilon_s A \& \Phi(x,s))\right)$$
.

AXIOM 1.12 Axiom of Regularity (extended):
$$\mathbf{A} \neq \emptyset \rightarrow (\forall i, \nexists s) (\mathbf{A} \in \bigcup_{s} \bigcup_{i} \mathbf{A}).$$

This extended foundation axiom precludes both element and scope self-membership, $\mathbf{A} \in_x \mathbf{A} \& x \in_{\mathbf{A}} \mathbf{A}$, at any nested level, but allows infinite nestings: for all i, $\mathbf{A}_{i+1} \in_{x} \mathbf{A}_{i}$.

AXIOM 1.13 Axiom of Choice: (Where $\mathcal{X}(x) \iff (\exists a, s)(a \in x)$.)

$$(\forall S) \Big[(\forall x, y) \Big(\ x \neq y \& x \in S \& y \in S \ \rightarrow \ \mathcal{X}(y) \& x \ \cap \ y = \emptyset \ \& \mathcal{X}(x) \Big) \ \longrightarrow \\ (\exists \Psi) (\forall z) (\exists x, v) \left(\ z \in S \ \rightarrow \ (\Psi \ \cap \ z) \ = \ \{x^v\} \ \right) \Big].$$

1.3 K: Klasses

In principle, \mathcal{K} lasses are certain sets in XST that are distinguished from others by a specific relationship between nested levels of scope elements. \mathcal{K} lasses are intended not only to correspond closely to the familiar role of classes but to also provide a stratification of sets that allows for *big collections* of *big collections* without fear of bumping into antinomies.

Definition 1.7
$$\alpha$$
- $\mathcal{K}lass: \mathcal{K}_{\alpha}(A) \iff \mathcal{X}(A) \& \mathcal{S}_* (\cup A) \subseteq \mathcal{E}(\alpha).$

AXIOM 1.14 Axiom of Klasses:

$$(\forall \Phi, \alpha, W, X, s)(\exists Y) \Big(\ \mathcal{K}_{\alpha}(Y) \ \& \ X \in_{s} Y \ \leftrightarrow \ \Phi(X) \ \& \ \mathcal{S}_{*}(X) \subseteq \mathcal{E}(\alpha) \ \& \ s \in \big(\mathcal{E}(W) \sim \mathcal{E}(\alpha)\big) \Big).$$

The addition of a \mathcal{K} lass axiom is intended to over come some of the *set size* restrictions imposed by the usual ZFC set theory. In particular, functions between \mathcal{K} lasses can now be studied along with functions between \mathcal{K} lasses of \mathcal{K} lasses.

References

[Ch92] Childs, D L: Axiomatic Extended Set Theory, supported in part by Hewlett-Packard, Unpublished, 1992

[X_AXIOMS: 10/01/05]